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PTO/SB/05 (2/98)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **TAL/7146.090 (SLA 0322)**  
 First Inventor or Application Identifier **Deshpande, Sachin**  
 Title **ERROR RESILIENT DIGITAL VIDEO SCRAMBLING**  
 Express Mail Label No. **EL619332828US**

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
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1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
 (Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages **19**]  
 (preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **5**]
4. Oath or Declaration [Total Pages **2**]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
 (for continuation/divisional with Box 17 completed)  
 [Note Box 5 below]
    - i. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☒ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)
14. ☐ \* Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other: .....

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17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_/\_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below  
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Name	Timothy A. Long				
	Chernoff, Vilhauer, McClung & Stenzel, LLP				
Address	1600 ODS Tower				
	601 S.W. Second Avenue				
City	Portland	State	OR	Zip Code	97204-3157
Country	U.S.A.	Telephone	503-227-5631	Fax	503-228-4373

Name (Print/Type)	Timothy A. Long	Registration No. (Attorney/Agent)	28,876
Signature	<i>Timothy A. Long</i>	Date	9/18/00

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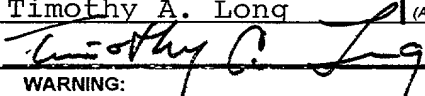
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FEE TRANSMITTAL for FY 2000		Complete if Known		
		Application Number		
Patent fees are subject to annual revision Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 and 1.28.		Filing Date	September 18, 2000	
		First Named Inventor	Deshpande, Sachin	
		Examiner Name		
		Group / Art Unit		
TOTAL AMOUNT OF PAYMENT	(\$)	940.00	Attorney Docket No.	TAL/7146.090 (SLA0322)

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																								
<b>1.</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.  Deposit Account Number: 03-1550  Deposit Account Name: Chernoff, Vilhauer  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	<b>3. ADDITIONAL FEES</b> <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td></td></tr><tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,210</td><td>241 605</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td></td></tr><tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr><tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="4">SUBTOTAL (3) (\$) 40</td></tr></tbody></table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath		127 50	227 25	Surcharge - late provisional filing fee or cover sheet		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 380	216 190	Extension for reply within second month		117 870	217 435	Extension for reply within third month		118 1,360	218 680	Extension for reply within fourth month		128 1,850	228 925	Extension for reply within fifth month		119 300	219 150	Notice of Appeal		120 300	220 150	Filing a brief in support of an appeal		121 260	221 130	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,210	241 605	Petition to revive - unintentional		142 1,210	242 605	Utility issue fee (or reissue)		143 430	243 215	Design issue fee		144 580	244 290	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)	40	146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))		149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (3) (\$) 40			
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Timothy A. Long	Registration No (Attorney/Agent)	28,876
Signature		Telephone	503-227-5631
		Date	9/18/00

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Express Mail No.: EL619332828US  
Date of Deposit: September 18, 2000  
Title: ERROR RESILIENT DIGITAL VIDEO SCRAMBLING  
Applicant: Deshpande, Sachin, et al.

I hereby certify that a Utility Patent Application, including Specification (19 pages), with: (1) Fee Transmittal (in duplicate); (2) Utility Patent Application Transmittal; (3) Declaration; (4) Assignment, with Assignment Recordal Cover Sheet; (5) Power of Attorney; (6) Statement Under 37 CFR §3.73(b), with attached copies of Assignment and Power of Attorney; (7) check in the sum of \$940 for the filing fees; and (8) acknowledgment postcard, are being deposited with the United States Postal Service "Express Mail to Addressee" on the date indicated above and is addressed to: Assistant Commissioner of Patents, Box New Application, Washington, D.C. 20231.

  
Dwight Bergquist-Moody

## ERROR RESILIENT DIGITAL VIDEO SCRAMBLING

### 5 CROSS REFERENCE TO RELATED APPLICATIONS

Not applicable.

### BACKGROUND OF THE INVENTION

10 The present invention relates to digital images and, more particularly, to a method of scrambling the images of a digital video sequence that is tolerant of transmission induced data errors.

Conditional access refers to the scrambling or encryption of a signal so that only a user having access to a key can gain access to the original signal. Conditional access permits a provider to supply services only to those users  
15 authorized to receive the service and is important to pay television, video-on-demand services, real-time video multi-cast services, and security systems. Conditional access is used in conjunction with video signals transmitted over a variety of communication channels including wireless broadcast, satellite communication, home networks, and the Internet.

20 Signal scrambling or encryption required for conditional access is problematic when used in conjunction with digital video. Typically, digital video includes a compression process. Without compression, the quantity of data necessary to digitally describe the succession of images making up a video sequence would be so great that transmission or storage would be impractical for  
25 many uses. On the other hand, compression produces interdependent data and data losses and errors resulting from transmission can cause an error to propagate to future images of the sequence.

The prior art of video scrambling includes methods that scramble a video signal in the spatial domain. For example, Hobbs, U.S. Patent No. 5,815,572  
30 discloses a number of techniques for scrambling the scan line signals of NTSC

(National Television Standards Committee) analog television. One scrambling technique reverses the scan line signal so that the right end of the line becomes the left end and vice versa. Another disclosed scrambling method permutes the order of lines in a block comprising a plurality of scan lines. Similarly, the order of a plurality of blocks of lines making up a field or image can be permuted. In addition, a line might be scrambled by inverting the line signal. For instance, if a higher value of a luminance signal in a scan line represents BLACK and a lower value represents WHITE, the values could be inverted so that the lower values represent BLACK and the higher values represent WHITE. Generally, scrambling signals in the spatial domain significantly affects the statistical properties of the signal making it difficult to apply compression to reduce redundancies in the video signals. In addition, the correlation of video data that is scrambled in the spatial domain can be used as a basis for unscrambling the signal and obtaining unauthorized access to the video.

15       A second general method of scrambling digital images treats compressed image data as ordinary data to be encrypted with traditional cryptographic methods. Pinder et al., U.S. Patent No. 5,684,876, disclose a method of applying a block cipher to the payload of MPEG Transport Stream packets. The disclosure indicates that a preferred cipher is the Digital Encryption Standard (DES).

20       Likewise, the Real-Time Transport Protocol (RTP) which is commonly used in conjunction with the User Datagram Protocol (UDP) for digital video transmission supports encryption of packet payload data. However, considerable processing overhead is required to encrypt data at the high rates required for real time video. On the other hand, multimedia data may not be of sufficient value to justify the high cost of data encryption. To reduce the processing overhead, encryption can be applied to selected frames (for example, intra-coded frames) however, such limited encryption may not be sufficiently secure for many applications. The presence of known data such as synchronization and end of block symbols in the MPEG Transport Stream provides a basis for unscrambling the data and obtaining

30       unauthorized access. Further, in some applications it is desirable to transcode the

data so that the data may be transmitted over a communication channel requiring a data rate different from the data rate of the original encoding. The scrambling key must be available at transcoding points to permit decryption, decompression, re-compression and re-encryption of the data. Making the scrambling key

5 available at a number of transcoding points is inconvenient and can jeopardize the security of the encryption.

One of the inventors of the present invention has proposed a method of video scrambling in which image data is shuffled following transformation. As described by Zeng et al. in the paper EFFICIENT FREQUENCY DOMAIN VIDEO  
10 SCRAMBLING FOR CONTENT ACCESS CONTROL, Proceedings of ACM Multimedia, November 1999, digital video may be scrambled by shuffling transform coefficients among the macroblocks making up a horizontal slice of a constituent image. Several scrambling techniques are disclosed providing excellent security for the signal. Since the scrambling is applied to the  
15 transformed image data, the statistical properties of the original image and the efficiency of the compression process are essentially unaffected by scrambling. However, video data is often transmitted over noisy communication channels by protocols providing unreliable delivery. As a result, data may be lost during transmission and retransmission or error correction is often impractical. Since  
20 packetization of video data proceeds along the horizontal slices of the image, video is susceptible to packet loss. Scrambling data along the direction of packetization may leave the image vulnerable to data errors because of the interdependence of the scrambled data describing the slice.

What is desired, therefore, is a method of image scrambling that provides  
25 appropriate security, utilizes reasonable data processing resources, has minimal impact on the efficiency of the compression process, and enables fault tolerant handling of errors or losses in the transmitted data.

## SUMMARY OF THE INVENTION

30 The present invention overcomes the aforementioned drawbacks of the

The error resilient video scrambling can be accomplished by a video encoder comprising a transform coder to transform a plurality of image pixels to an array of transform coefficients; a scrambling buffer storing a first and a second array of transform coefficients representing portions of the image pixels arrayed along an axis substantially orthogonal to an axis of packetization of the transform coefficients; a scrambler selectively transposing a coefficient of the first array to the second array; and a scrambling key identifying coefficients of the first for selective transposition to the second array by the scrambler.

The foregoing and other objectives, features and advantages of the invention will be more readily understood upon consideration of the following detailed description of the invention, taken in conjunction with the accompanying drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic representation of the constituent data of a digital video sequence.

5           FIG. 2 is a block diagram of a video encoder incorporating scrambling according to the present invention.

FIG. 3 is a schematic representation of block based transform coding.

FIG. 4 is a schematic representation of a portion of a transform coded image.

FIG. 5 is a block diagram of a video decoder and descrambler.

## 10   DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to FIG. 1, a digital video sequence 10 comprises a succession of images 14 or pictures transmitted or stored as data packets in a data stream. Signals representing the images are captured by a camera or other device, converted to digital data, compressed, packetized, and transmitted or stored. At a display point, the data is decompressed and converted to signals which are used to control the display device. The quantity of data required to digitally describe the images of a video sequence is so great that digital video would be impractical for many applications without data compression. The objective of compression is the reduction of spatial and temporal redundancy in the data describing the succession of images.

20           Temporal redundancy is typically addressed by inter-coding or identifying the differences between successive images. If a reference image is available, a target image can be produced from the reference image and the differences between the two images. Therefore, it is only necessary to store or transmit the reference image and the differences between the reference image and any target images predicted therefrom. FIG. 1 is a schematic representation of the data of a video sequence illustrating division of the data for the purpose of block based transform coding by a video encoder utilizing a discrete cosine transform (DCT) as specified in the JPEG, MPEG-1, MPEG-2, and H.26X specifications. This encoder embodiment is described for purposes of illustration and not by way of

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the image 14 is segmented horizontally by slices 18 and vertically by columns of macroblocks 22 (indicated by a bracket) which, in turn, comprise vertical columns of blocks 25 or 26. The Real-Time Transport Protocol (RTP) is commonly used for transferring digital video data. Generally, the packet payload formats

- 5 recommended by RTP facilitate packetization along the axis of the slices 18 or GOBs and synchronization words useful to recover from data errors and loss are available at the GOB or slice level.

- In addition to temporal redundancies characteristic of successive images, individual images typically include spatial redundancies in the similarity of adjacent  
10 pixels in flat areas of the image and dominant frequencies in patterned areas. Spatial redundancy is reduced by intra-coding of individual images through the steps of transformation, quantization, and entropy encoding. Transformation applies an invertible transform to convert spatial domain data describing the image to a collection of coefficients describing the image in another domain, usually the  
15 frequency domain. For MPEG-2 transform coding the pixels of the individual luminance and chrominance signals are divided into 8X8 blocks. As illustrated in FIG. 3, a transform module 46 applies the DCT transform to blocks 24 of pixels, transforming the luminance or chrominance values of the pixels to an array of transform coefficients 80.

- 20 The succession of images 14 making up a video sequence 10 are captured by a camera or other device usually as analog signals representing the red, green, blue components of the light reflected by an object. The analog signals are converted to digital signals and the red, green, and blue values of the image pixels are typically converted to a luminance and two chrominance values. Typically, the  
25 chrominance of the image is sub-sampled because the human visual system is more sensitive to image luminance than chrominance. In addition to signal conversion, a preprocessor may arrange the image data so that images will be encoded in the order of their decoding. Since some of the images will be predicted from other images, the reference images must be decoded before the  
30 decoding of predicted images can proceed.

Referring to FIG. 2, the pre-processed digital data representing an image are input 42 to the video encoder 40. Individual images of the sequence are either inter-coded or intra-coded as determined by the order in which they are input to the encoder 40. A switch 44 at the encoder input selects the encoding path

5 appropriate for each image. For an intra-coded image the switch 44 directs the image to the transform module 46. Pixels from either the luminance or chrominance input data for the image 14 are mapped to 8 X 8 arrays of pixels or blocks 24. In the transform coder 46, the DCT is applied to the pixels of the block 24 and the luminance or chrominance values of pixels are transformed to an

10 8 X 8 array of transform coefficients 80 representing the frequencies of the block, as illustrated in FIG. 3. The coefficient 82 in the upper left corner of the block represents the DC coefficient for the block. Coefficients vertically below the DC coefficient 82 represent increasing vertical spatial frequencies and coefficients to the right of the DC coefficient represent increasing horizontal spatial frequencies.

15 A DCT-based transformation applied to a typical image produces zero, or very small, coefficient values for many of the higher spatial frequencies. Coincidentally, the human visual system is less sensitive to higher spatial frequencies so the zero coefficients and many of the smaller coefficients can be coarsely defined or eliminated without noticeable degradation of image quality.

20 The data representing the transformed image is accumulated in a transform buffer 48 at the output of the transform module 46. A schematic representation of a transformed luminance signal for a portion of an image 14 is illustrated in FIG. 4. Each 8X8 pixel block is represented by an 8X8 array or block of transform coefficients 100 (indicated by a bracket). Macroblocks of transform

25 coefficients 102 (boundaries indicated by a bracket) comprise the four arrays of transform coefficients 100 representing the four pixel blocks of a luminance macroblock and the arrays of transform coefficients representing the chrominance of the area of the image occupied by the luminance block. FIG. 1 illustrates a subsampling of the image chrominance with two chrominance blocks 26 included

30 in the macroblock 20. In general, the image 14 is represented by columns of

transform coefficient arrays 104 (indicated by brackets) which are arranged orthogonal to slices 106 of coefficient macroblocks 102. The coefficient data for a column of blocks 104 is input to a scrambling buffer 50 of a scrambler 52. The scrambler 52 selectively transposes transform coefficients between the arrays in

5 the column of arrays according to a shuffling table 54 which is controlled by a cryptographic key 56. A coefficient of a first array of an array column 104 is exchanged for a coefficient typically occupying the same band or frequency location in a second array of the column. For example, the DC coefficients 82 and 108 of two coefficient arrays 100 and 112 of a column of coefficient blocks might

10 be transposed or the coefficients of several arrays of the column may be transposed by rotation through several blocks. For example DC coefficient 82 might replace DC coefficient 109 which, in turn, might replace DC coefficient 108 which may be used to replace coefficient 82. In addition, AC coefficients, for example coefficients 110 and 111, for one or more frequency locations may be

15 permuted according to the same or a different shuffling scheme. Likewise, DC and AC coefficients may be permuted for the chrominance coefficient arrays. In addition, the transform coefficients may be altered. For example, the sign of a coefficient might be reversed if the coefficient has a predetermined relationship to a threshold value. The signs of AC coefficients may be inverted or DC coefficients

20 may be assigned a negative sign if the value of the coefficient exceeds a threshold value. For additional security, the transform coefficients can be scrambled along macroblock columns where the coefficients are transposed horizontally as well as vertically. One luminance macroblock provides four similar coefficient positions (four arrays) within which coefficients can be transposed. The

25 scrambler 52 scrambles the transform coefficient data along the columns of arrays 104 in a direction substantially orthogonal to the direction of data packetization which proceeds generally in the direction of the axis of the slices 106.

Following transformation and scrambling, the scrambled transform coefficients

30 are quantized 56. Since the human visual system is less sensitive to higher

spatial frequencies, weighting may be applied during the quantization process to further minimize the coefficients representing higher frequencies. Typically, quantization of video images uses up to eleven bits to represent the DC block coefficient and significantly fewer bits to represent the higher frequency

5 coefficients. However, since scrambling is applied to the transformed data and transform coefficients from the same frequency locations in the arrays are transposed, scrambling does not affect the quantization process. Quantization is a lossy compression process and much of the data compression is accomplished in the quantizer 56.

10 If the input image 42 is to be an inter-coded (P or B) image the switch 44 selects the inter-coding position and the incoming image or target image is sent to the motion estimation and compensation unit 58 for comparison to one or more reconstructed reference images from which the target image is to be predicted. When a reference image has been quantized 56, a copy of the quantized data is  
15 sent to a decoder section 60 (indicated by a bracket) of the encoder 40. In the decoder section 60, the image is reconstructed by dequantization 62, unscrambling 64, and inverse transformation 66 and the reconstructed image stored in a reference frame storage 68. The descrambler 64 reverses the transform coefficient shuffling performed by the scrambler 52 using the same  
20 shuffling table 54 and key 56 used by the scrambler to shuffle the coefficients.

To encode a target P-image, the motion estimation and compensation unit 58 compares a reference I-image in the reference frame storage 68 to the input target image to determine forward motion vectors. In the motion estimation and compensation unit 58 macroblocks of the current image are moved incrementally  
25 over a search range in the reference image until the greatest correlation between the current macroblock and a corresponding region in the reference image is obtained. Motion vectors indicating the necessary horizontal and vertical movements of matched region are calculated and recorded in the header of the macroblock in the data stream. The motion vectors are transmitted to the decoder  
30 for use in conjunction with reference image data in decoding the predicted

If the input image is to be coded as a B-image, the motion estimation and compensation unit 58 compares the target B-image with the reference images that precede and follow the target image and which are stored in the reference image storage 68. Forward and backward motion compensation is performed to produce two predicted B-images. These are subtracted from the target B-image and the data from either the forward or backward motion compensation that represents the least difference is spatially coded and sent with the motion vectors.

Entropy coding 72 is applied to the arrays of transform coefficients following quantization 56. Referring to FIG. 3, typically, a block of coefficients is scanned in a zig-zag pattern 84 that maximizes the runs of zero value coefficients. The entropy encoder 72 applies lossless compression methods such as variable length encoding and run length coding to further reduce the quantity of data. Variable length encoding identifies common patterns in the data and uses codes to identify frequently occurring patterns. Run length encoding uses a unique code word to identify a repeating pattern of bits in the data stream and outputs the number of bits in the pattern rather than the individual bits. The motion vectors and other

macroblock and slice header information are typically added to the data stream at the entropy encoder 72.

The video elementary data stream at the output of the entropy encoder 72 is input to a packetizer and buffer unit 74. For convenience, the elementary stream  
5 may be broken into data blocks by the packetizer and buffer unit 74 to form a packetized elementary stream (PES). While the data stream follows the zig-zag pattern through the blocks or arrays of transform coefficients, the axis of the packetization is generally along the horizontal axis of the slices 18 of the images.

Video data systems are typically designed to utilize data at a near constant  
10 rate. However, the data rate output by the entropy encoder 72 varies because of the differing quantities of data required to encode the various images. The buffer of the packetization and buffer unit 74 smooths the flow of elementary stream data 74 from the encoder 40 and provides a mechanism for controlling the encoding rate. Following encoding by the video encoder 40, timing information is  
15 added to the packetized elementary stream and video, audio, and data elements of the video program are combined by a program or transport stream multiplexer to produce a program data stream for storage or a transport stream for broadcasting.

To display the video sequence, the elements of the program or transport data  
20 streams are separated and decoded. Referring to FIG. 5, the scrambled video elementary stream 122 resulting from the separation of the program or transport stream is decoded in a video decoder 120. In the decoder 120 the encoding process is reversed to produce signals for controlling the operation of a display, transcoding device, or other information sink. Elementary stream packets 122 are  
25 input to a buffer and depacketizer unit 124 to smooth the data flow to the decoder and remove the elementary stream packetization information. The resulting data stream is input to an entropy decoder 126 that recovers the motion vectors, quantization parameters, and other data 128 from the encoded data stream and reverses the entropy encoding. A de-quantizer 130 reverses the quantization  
30 process of the encoder 40 to produce blocks of transform coefficients that are

5 establish the shuffling table 136 for unscrambling the data stream. Following unscrambling, the transform coefficients are input to the inverse transform module 138 which applies the inverse transform to produce luminance and chrominance data describing an image that approximates the original image. If the data represents a B- or P-image the switch 140 selects the inter-coding  
10 position and a motion compensation unit 142 utilizes the motion vectors obtained from the entropy decoder 126 to determine the differences between the target image and the reference image. The difference data is added 144 to the data for the reference image to produce the data describing the digital image 146 which may be further processed to create signals appropriate to operate a display or  
15 other device. Since scrambling is applied to transform coefficients and occurs along an axis orthogonal to the axis of elementary stream data packetization, the scrambling has minimal effect on compression efficiency and the effect of any data loss or error is distributed more widely in the image producing fewer visually noticeable effects on the reconstructed image.

20 All the references cited herein are incorporated by reference.

The terms and expressions that have been employed in the foregoing specification are used as terms of description and not of limitation, and there is no intention, in the use of such terms and expressions, of excluding equivalents of the features shown and described or portions thereof, it being recognized that the scope of the invention is defined and limited only by the claims that follow.

## CLAIMS

The invention claimed is:

1. A method of scrambling a digital image comprising the steps of:
  - 5 (a) transforming a value of pixels of said image to an array of transform coefficients; and
  - (b) selectively transposing at least one transform coefficient of at least two said arrays, said at least two arrays arranged along an axis substantially orthogonal to an axis of packetization of said transform coefficients.
- 10 2. The method of claim 1 wherein said transposed transform coefficients occupy corresponding positions in said at least two arrays.
- 15 3. The method of claim 1 wherein said transformed value of said image pixels is a luminance of said pixels.
4. The method of claim 1 wherein said transformed value of said image pixels is a chrominance of said pixels.
- 20 5. The method of claim 1 further comprising the step of altering a value of a transposed transform coefficient.
6. The method of claim 1 further comprising the step of altering a sign of a transposed transform coefficient if a value of said transform coefficient has a predefined relationship to a threshold value.
- 25 7. The method of claim 1 further comprising the step of selectively transposing at least one transform coefficient of at least two said arrays along an axis substantially parallel to an axis of packetization of said transform coefficients.
- 30

8. A method of scrambling a digital image comprising the steps of:

- (a) mapping a plurality of pixels of said image to a pixel block;
- (b) transforming a value of said pixels of said pixel block to an array of transform coefficients; and

5 (c) selectively transposing a transform coefficient of at least two said arrays of transform coefficients arranged along an axis substantially orthogonal to an axis of packetization of said array.

10 9. The method of claim 8 wherein said transposed transform coefficients occupy corresponding positions in said at least two arrays.

10. The method of claim 8 wherein a luminance value of said pixels is transformed to said array of transform coefficients.

15 11. The method of claim 8 wherein a chrominance value of said pixels is transformed to said array of transform coefficients.

12. The method of claim 8 further comprising the step of altering a value of said transposed transform coefficients.

20 13. The method of claim 8 further comprising the step of altering a sign of a transposed transform coefficient if a value of said transform coefficient has a predefined relationship to a threshold value.

25 14. The method of claim 8 further comprising the step of selectively transposing at least one transform coefficient of at least two said arrays along an axis substantially parallel to an axis of packetization of said transform coefficients.

30

15. A method of scrambling a sequence of digital images comprising the steps of:
  - (a) selecting at least one said image for coding as a discrete image;
  - (b) transforming pixels of said discrete image to a plurality of arrays of transform coefficients;
  - 5 (c) selecting a plurality of said arrays arranged substantially along a first axis of said image;
  - (d) replacing a coefficient of a first of said selected arrays with a coefficient of a second of said selected arrays, said coefficients of said first and said second arrays being identified by a cryptographic
  - 10 key; and
  - (e) packetizing said coefficients of said plurality of arrays substantially along a second axis substantially orthogonal to said first axis.
16. The method of claim 15 wherein said coefficient of said second selected array occupies a same position in said second selected array as said replaced
- 15 coefficient occupies in said first selected array.
17. The method of claim 15 wherein a luminance representation of said pixels is transformed to said array of transform coefficients.
- 20 18. The method of claim 15 wherein a chrominance representation of said pixels is transformed to said array of transform coefficients.
19. The method of claim 15 further comprising the step of altering a value of
- 25 coefficient of said second of said selected arrays.
20. The method of claim 15 wherein said selected plurality of arrays arranged substantially along a first axis of said image includes a first and a second pluralities of said arrays, said first and said second pluralities aligned with
- 30 said first axis but displaced from each other along said second axis.



transform coefficients for selective transposition to a second array of transform coefficients;

(b) a descrambling buffer storing said first and said second arrays of transform coefficients, said first and said second transform coefficient arrays representing pixels of said digital image arrayed along an axis substantially orthogonal to an axis of packetization of said transform coefficients;

(c) a descrambler to selectively transpose said scrambling coefficient of said first array to said second array of coefficients as described by said scrambling key; and

(d) an inverse transform module to transform said array of said transform coefficients to a plurality of pixels of said digital image.

## ABSTRACT OF THE DISCLOSURE

- 5           A method and an apparatus for error resilient, digital image scrambling are disclosed. Error resilience scrambling is produced by shuffling transform coefficients between arrays of coefficients arranged along an axis substantially orthogonal to the axis along which packetization of the coefficients proceeds. Scrambling transform coefficients requires a reasonable level of processing
- 10 resources and has minimal impact on the efficiency of the compression process. Shuffling can be performed in a number of ways to provide good access security and a variety of security. Scrambling the image data in a direction substantially orthogonal to the direction of packetization of the transform coefficients distributes any transmission error in the image reducing the effects of data error or loss on
- 15 the displayed image.

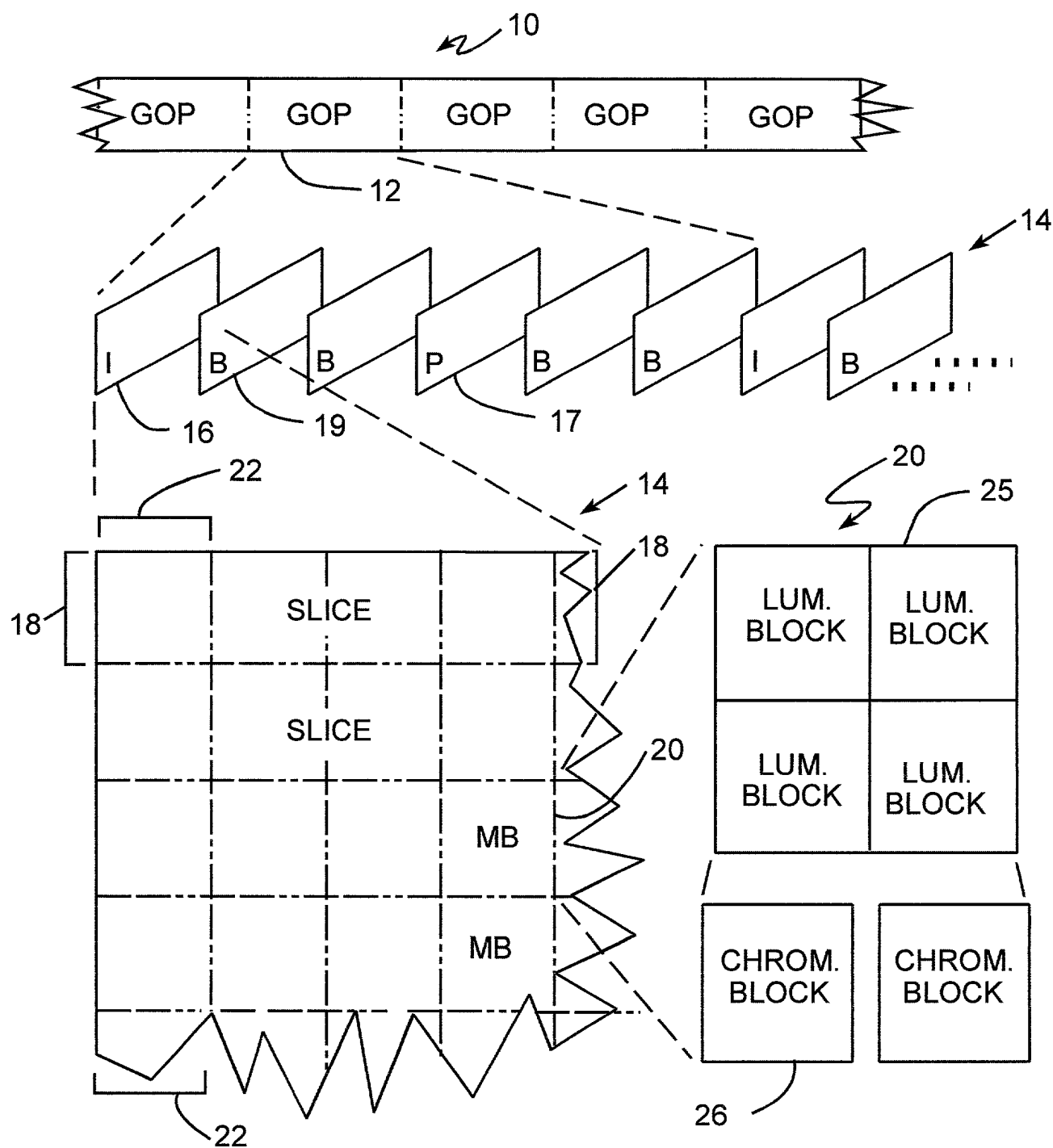


FIG. 1  
(PRIOR ART)

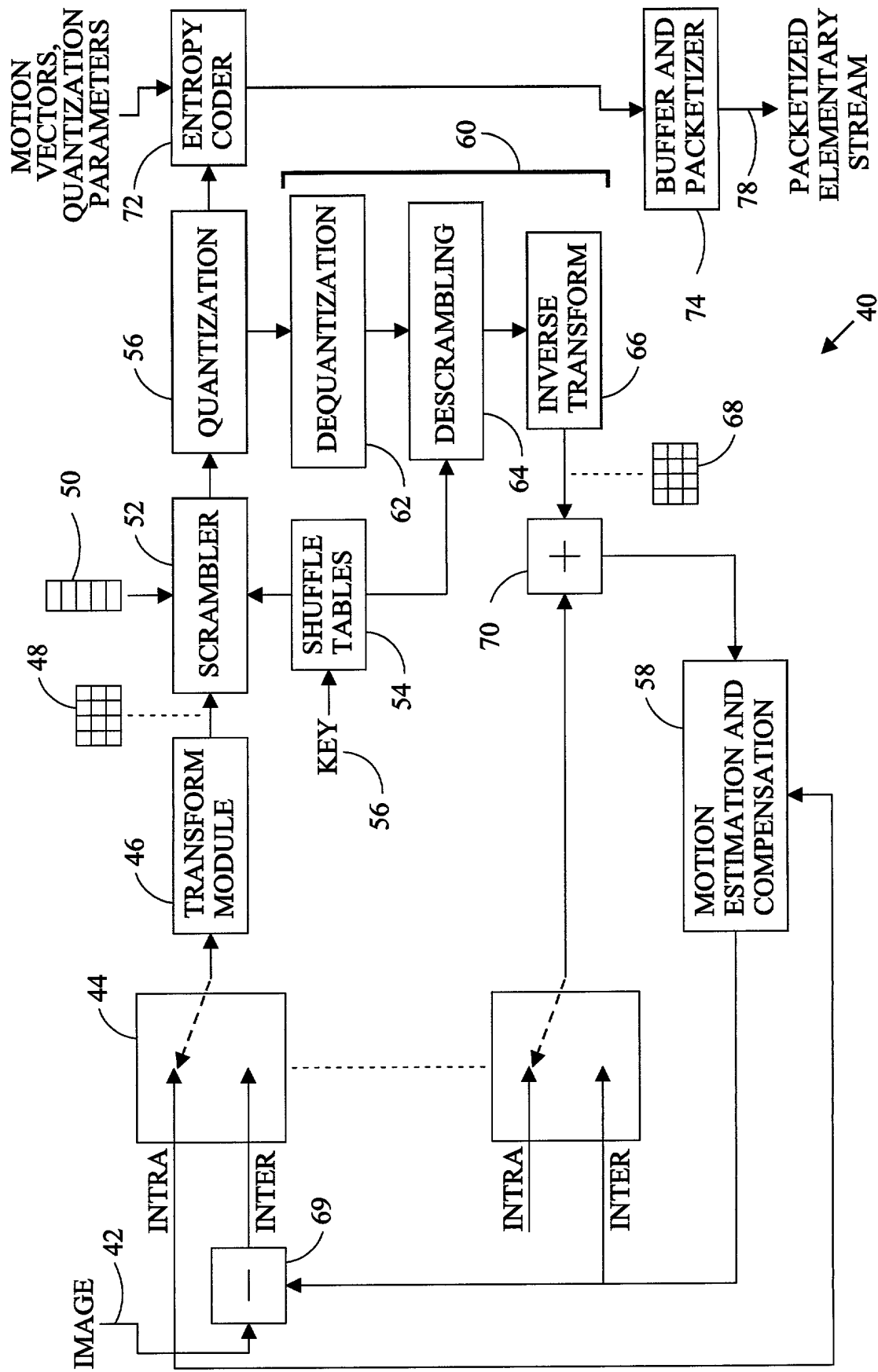


FIG. 2

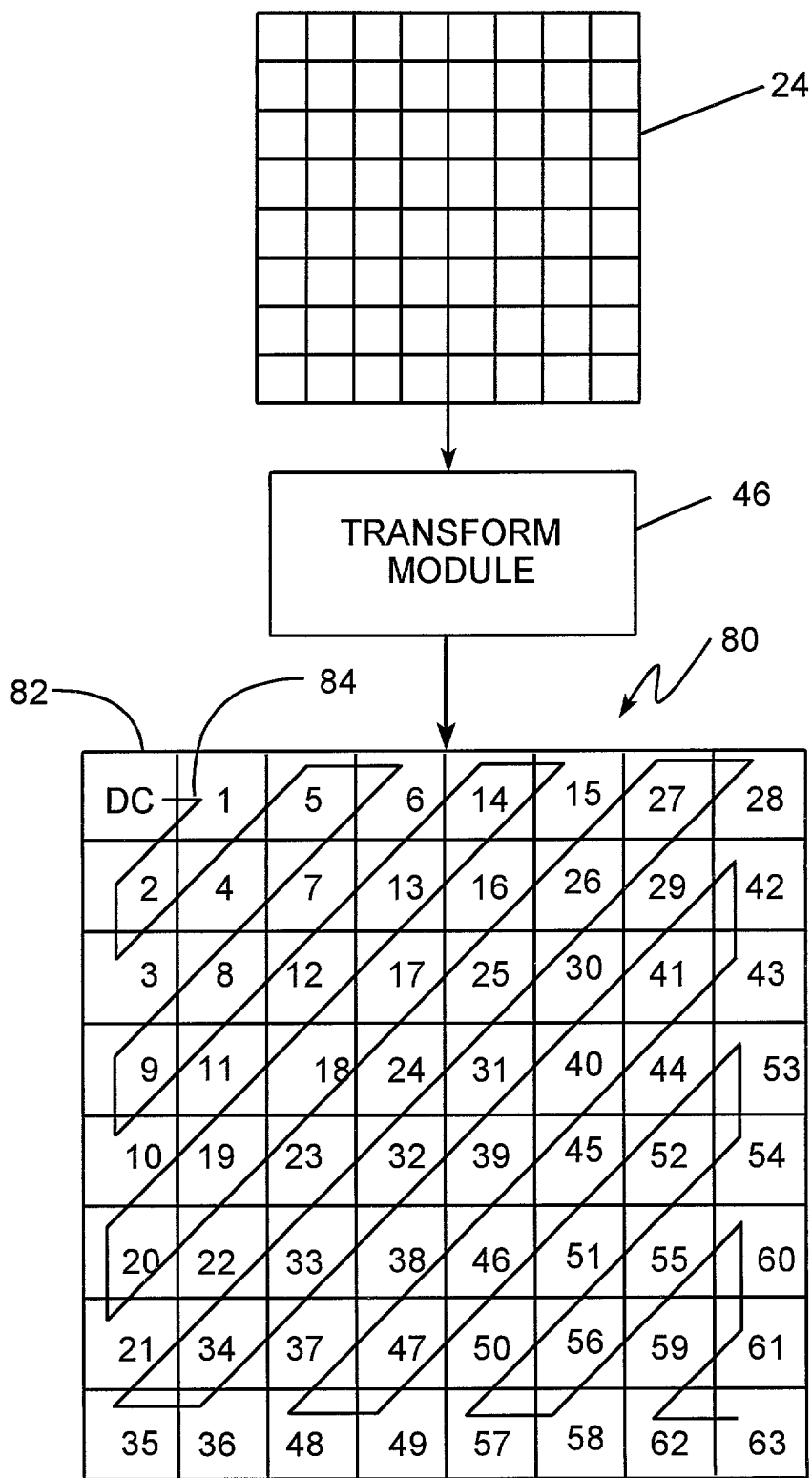


FIG. 3  
(PRIOR ART)

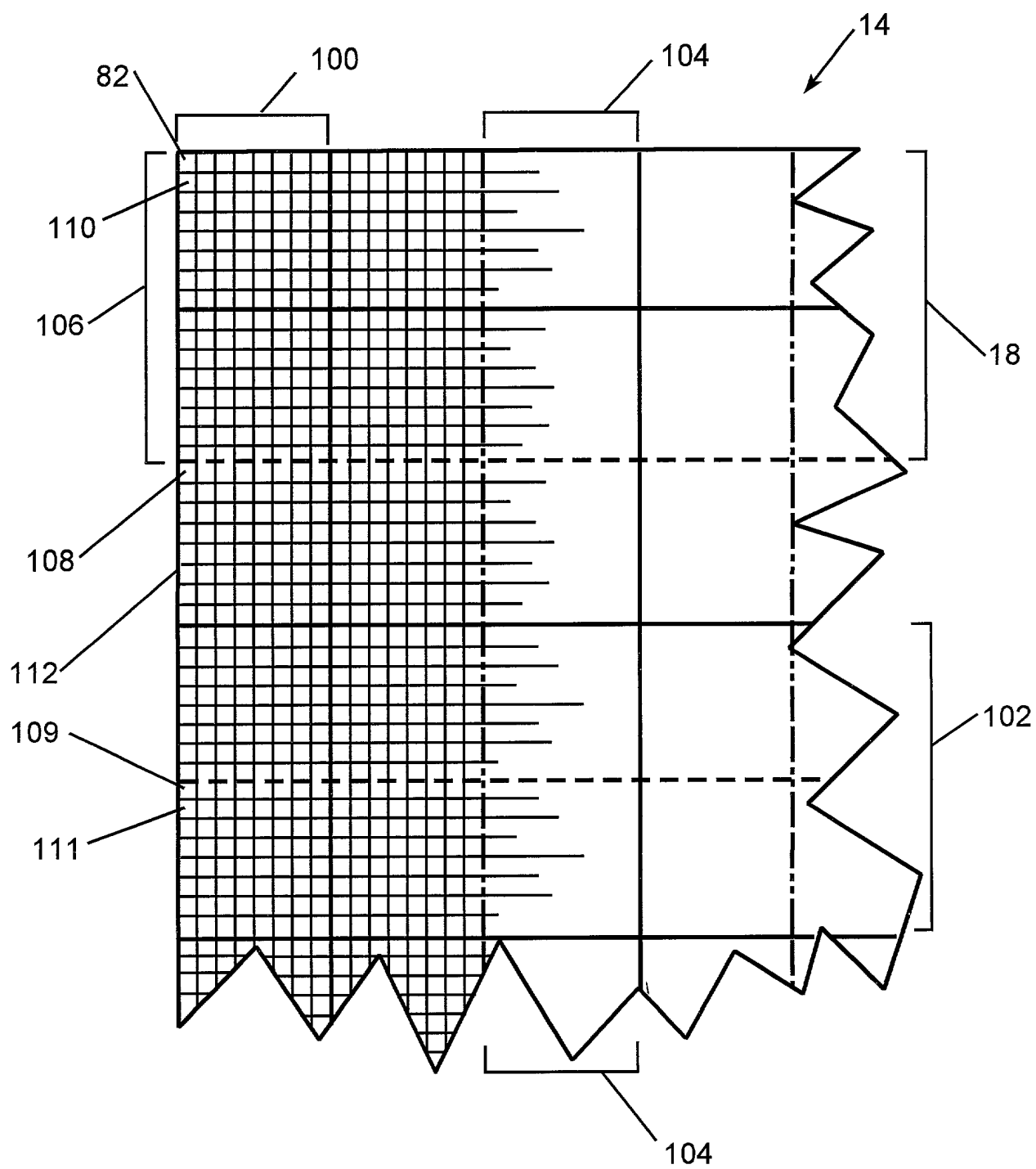


FIG. 4

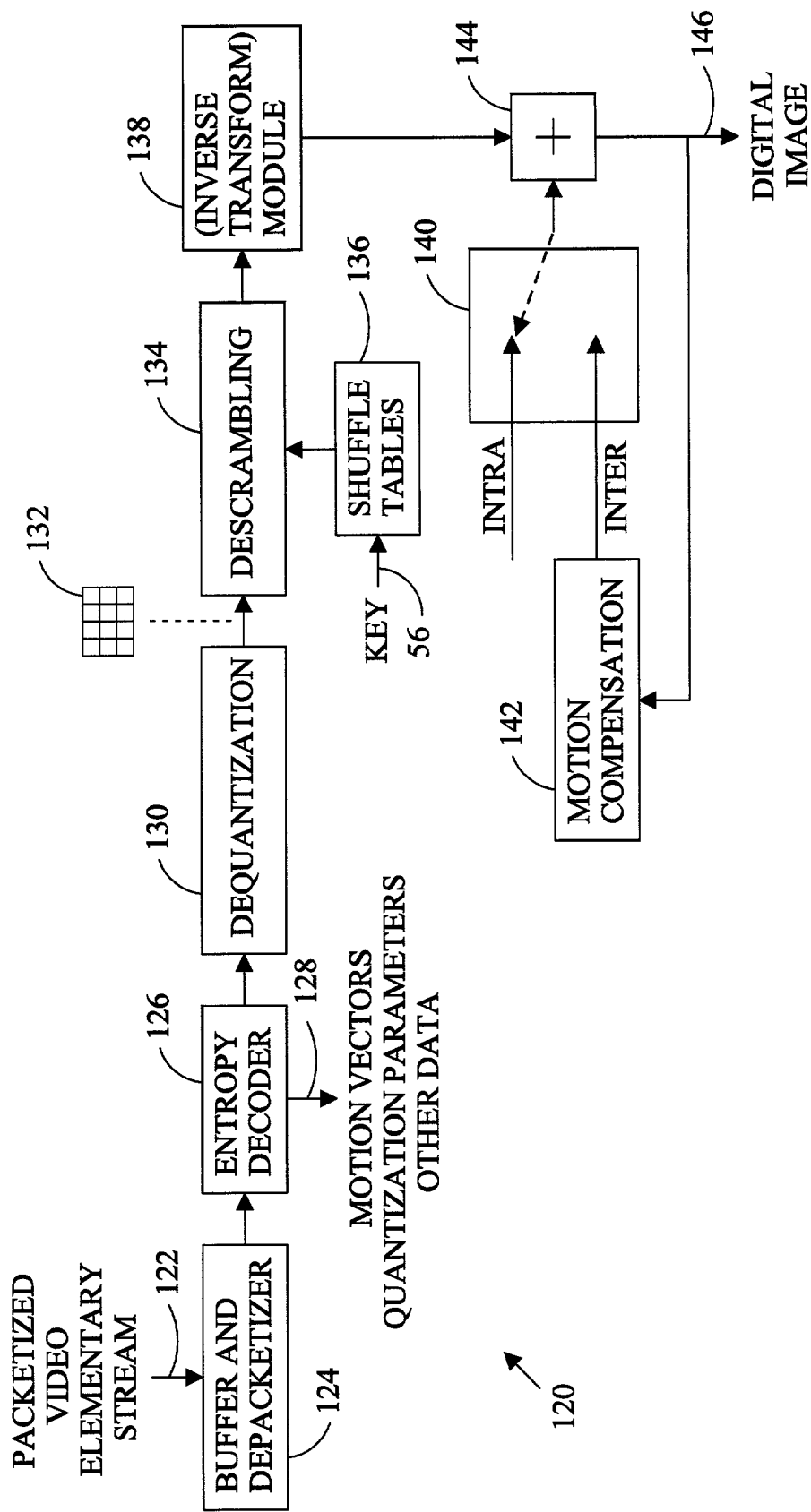


FIG. 5

### DECLARATION

As the below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our names respectively.

We believe that we are the original, first and only inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

### **ERROR RESILIENT DIGITAL VIDEO SCRAMBLING**

the specification of which:

☒ is attached hereto.  
was filed on \_\_\_\_\_ as  
☐ Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_. (if applicable).

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventors' certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			<u>Priority Claimed</u>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

_____ (Application Serial No.)	_____ (Filing Date)
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We hereby claim the benefit under Title 35, United States Code, § 120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Ser. No.) (Filing Date) (Status) (patented, pending, abandoned)

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 9/12/2000

Residence  
Citizenship  
Post Office Address

S. G. Deshpande  
Sachin Deshpande  
Vancouver, Washington  
India  
16900 S E 26<sup>th</sup> Drive, Apt. T 131  
Vancouver, Washington 98683

Dated: 9/14/2000

Residence  
Citizenship  
Post Office Address

Wenjun Zeng  
Wenjun Zeng  
Vancouver, Washington  
Peoples Republic of China  
18611 S E 24<sup>th</sup> Street  
Vancouver, Washington 98683

008160 "31.03.9800

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION EXAMINING OPERATIONS**

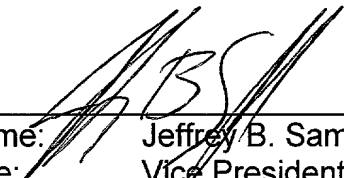
Applicant : Deshpande et al.                      Group Art Unit:  
Serial No :    Examiner:  
Filed:                      herewith  
Title:                      ERROR RESILIENT DIGITAL VIDEO SCRAMBLING

**POWER OF ATTORNEY**

I, Jeffrey B. Sampsell, declare that I am the Vice President of Sharp Laboratories of America, Inc., a Washington corporation, and I am authorized to execute this document on its behalf. Sharp Laboratories of America, Inc., is the assignee of the entire right, title and interest in the above-referenced patent application and hereby appoints Jacob E. Vilhauer, Jr., Reg. No. 24,885, Charles D. McClung, Reg. No. 26,568, Dennis E. Stenzel, Reg. No. 28,763, Donald B. Haslett, Reg. No. 28,855, William O. Geny, Reg. No. 27,444, J. Peter Staples, Reg. No. 30,690, , Kevin L. Russell, Reg. No. 38,292, Nancy J. Moriarty, Reg. No. 40,733, Bruce W. DeKock, Reg. No. 40,585, and Timothy A. Long, Reg. No. 28,876 all members of the firm of CHERNOFF, VILHAUER, McCLUNG & STENZEL, 1600 ODS Tower, 601 S W Second Avenue, Portland, Oregon 97204, Telephone No. (503) 227-5631, its attorneys, jointly and individually, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 9/13/00

  
Name: Jeffrey B. Sampsell, Ph.D.  
Title: Vice President  
Company: Sharp Laboratories  
of America, Inc.